

PCT

**OCWSCS 28 OCT 2003**Attorney's Docket No.: 09531-016002  
Client's Ref. No.: 97141

**OFFICIAL COMMUNICATION FACSIMILE**  
**FOR THE PERSONAL ATTENTION OF:**  
**VALARIE KINNARD**

**OIPE FAX NO: ~~703-308-7799~~ 703/246-9195**

Number of pages including this page 5

Applicant : Gary L. Nelsestuen  
 Serial No. : 10/031,005  
 Filed : October 29, 2001

Art Unit : 1653  
 Examiner : H. Schnizer

Title : MODIFIED VITAMIN K-DEPENDENT POLYPEPTIDES

**FACSIMILE COMMUNICATION**

Mail Stop OIPE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Attached to this facsimile communication cover sheet is a copy of the Request for Corrected Filing Receipt and Filing Receipt with indicated corrections needed, that was filed with the U.S. Patent and Trademark Office (PTO) on May 28, 2002, and a copy of the return receipt postcard from the PTO, faxed this 22<sup>nd</sup> day of October, 2003, to OIPE, the United States Patent and Trademark Office.

Respectfully submitted,

Date: October 22, 2003

*Elizabeth N. Kaytor*  
 Elizabeth N. Kaytor, Ph.D.  
 Reg. No. 53,103

Fish & Richardson P.C., P.A.  
 60 South Sixth Street  
 Suite 3300  
 Minneapolis, MN 55402  
 Telephone: (612) 335-5070  
 Fax: (612) 288-9696

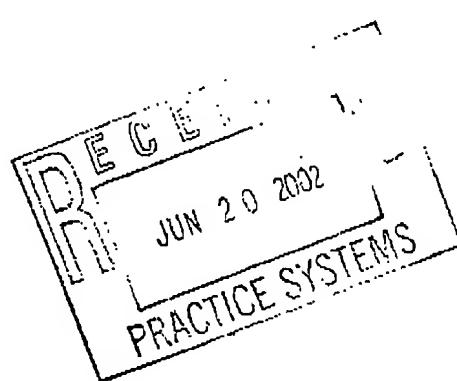
60173091.doc

**NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (612) 335-5070 to arrange for its return. Thank you.**

MMG

Attorney's Docket No. 09531-016002	Express Mail Label No.	Mailing Date May 28, 2002	<i>For PTO Use Only Do Not Mark in This Area</i>
Application No. 10/031,005	Filing Date October 29, 2001	Attorney/Secretary Init MMG/kjs	
Title of the Invention <b>MODIFIED VITAMIN K-DEPENDENT POLYPEPTIDES</b>			
Applicant <b>Gary L. Nelsestuen</b>			
Enclosures • Request for Corrected Official Filing Receipt (1 page) • Copy of Filing Receipt (2 pages)			

JUN 11 2002



Attorney's Docket No.: 09531-016002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Nelsestuen                      Art Unit : 1646  
 Serial No. : 10/031,005                              Examiner : Unknown  
 Filed : October 29, 2001  
 Title : MODIFIED VITAMIN K-DEPENDENT POLYPEPTIDES

Commissioner for Patents  
 Washington, DC 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

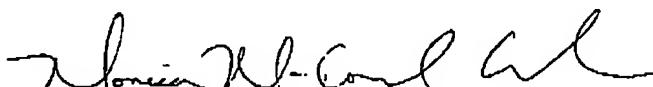
Please correct the priority information on the Filing Receipt for the above-referenced application. The priority information listed under "Foreign Applications" should be listed under "Domestic Priority."

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired change in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 5/28/02



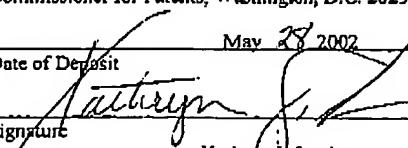
Monica McCormick Graham, Ph.D.  
 Reg. No. 42,600

Fish & Richardson P.C., P.A.  
 60 South Sixth Street  
 Suite 3300  
 Minneapolis, MN 55402  
 Telephone: (612) 335-5070  
 Facsimile: (612) 288-9696

60087245.doc

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit	May 28 2002
Signature	
Kathryn J. Sanderson	
Typed or Printed Name of Person Signing Certificate	

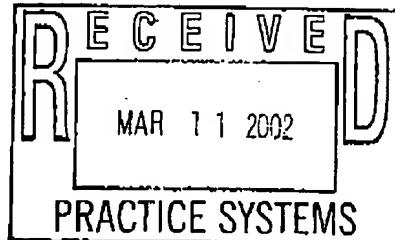
Page 1 of 2

LSE  
MNG

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/031,005	10/29/2001	1646	916	09531-016002	16	49	8



Fish & Richardson  
 Suite 3300  
 60 South Sixth Street  
 Minneapolis, MN 55402

CONFIRMATION NO. 3846

FILING RECEIPT



\*OC000000007570823\*

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gary L. Nelsestuen, St. Paul, MN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/11416 04/28/2000

## Foreign Applications

UNITED STATES OF AMERICA 09/302239 04/29/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Modified vitamin K-dependent polypeptides

## Preliminary Class

514

\* No Docketing Required \*

Reviewed By Practice Systems  
 Initials: *[Signature]*

Reviewed By Billing Section  
 Initials: *[Signature]* JASV 3/10/03

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).